

# Division of Facilities Construction and Management

# MULTI-STEP BIDDING PROCESS FOR GENERAL CONTRACTORS Or TILE CONTRACTORS

**Single Project---Short-Listing** 

**Request for Submittals** 

February 10, 2009

# VERNAL FIELDHOUSE TILE FLOOR REPLACEMENT

# **DIVISION OF PARKS & RECREATION**

VERNAL, UTAH

DFCM Project Number 08204510

AJC Architects 703 East 1700 South Salt Lake City, Utah 84105

# **TABLE OF CONTENTS**

	Page #
Title Sheet	1
Table of Contents	2
Notice to Contractors	3
Description of Work	4
Multi-Step Bidding Process	5
Multi-Step Project Schedule	12
Bid Form	13
Bid Bond	15
Instructions and Subcontractors List Form	16
Contractor's Agreement	19
Performance Bond	24
Payment Bond	25

DFCM Supplemental Conditions dated July 15, 2008 – By Reference DFCM General Conditions dated May 25, 2005 --- By Reference

## NOTICE TO CONTRACTORS

The State of Utah - Division of Facilities Construction and Management (DFCM) is requesting submissions for the following project:

Project Name: Vernal Fieldhouse Tile Floor Replacement – Division of Parks & Recreation

Vernal, Utah

Project No. 08204510

Project Description: Replacement of the ceramic floor tile in the lobby of the Vernal Field house. The existing tile has delaminated from the setting bed. The scope of work will be to remove the tile and setting bed and replace the setting bed and tile with the same pattern and color. The facility will have to remain open during this activity.

**Cost Estimate:** \$150,000.00

DFCM is entering into a Multi-Step Bidding Process for Construction services. A short-listing of contractors will be based on the selection criteria outlined in the bidding documents contained herein. Short-listed contractors will be invited to submit bids on the project described above. The only contractors allowed to bid on this project will be contractors short-listed by the selection committee.

All contractors responding to this procurement must comply with and require all of their subcontractors to comply with the license laws as required by the State of Utah.

The bidding documents including plans and specification, short-listing requirements and schedule will be available at **3:00 PM** on **Tuesday**, **February 10**, **2009** on the DFCM web page at <a href="http://dfcm.utah.gov">http://dfcm.utah.gov</a> and from DFCM, 4110 State Office Building, Salt Lake City, Utah 84114, telephone (801) 538-3018. For questions regarding this solicitation, please contact **Vic Middleton**, DFCM, at (801) 971-0504. No others are to be contacted regarding this solicitation.

A <u>mandatory</u> pre-submittal meeting to discuss the multi-step bidding process will be held at **11:00** AM on **Wednesday, February 18, 2009** at the Vernal Field house, located at 496 East Main, Vernal Utah.

When bidding on this project, short-listed contractors will be required to submit a Bid Bond in the amount of five percent (5%) of the bid amount, made payable to the Division of Facilities Construction and Management on DFCM's Bid Bond Form. A Bid Bond must accompany each bid.

The Division of Facilities Construction & Management reserves the right to reject any or all submittals/bids or to waive any formality or technicality in any submittal/bid in the interest of the State.

DIVISION OF FACILITIES CONSTRUCTION AND MANAGEMENT JOANNA REESE, CONTRACT COORDINATOR 4110 State Office Bldg., Salt Lake City, Utah 84114

## **DESCRIPTION OF WORK**

The only contractors allowed to bid on this project will be contractors short-listed by the selection committee.

#### **Project Description:**

The scope of the project is to remove the existing ceramic tile and setting bed in the lobby of the Vernal Field House. This is a very unique pattern of tile with several colors displaying a map type design in the lobby under a large dinosaur. The tile is a 2x2 ceramic Dal tile with a sand setting bed. The contractor will have to remove the tile and setting bed to the structural concrete. Replace setting bed and tile as detailed. The existing tile has delaminated from the setting bed. The facility will have to remain open during this project and insure the safety and access to visitors and parks personnel. Also a great deal of care will have to be given to the artifacts in the facility in regards to dust and exposure to heat or cold and the humidity. These need to be included in the management plan submitted by the contractor.

Individual contractors or alliances between two or more contractors are allowed in this process to form a team. However, one contractor or firm <u>MUST</u> be declared as the lead firm representing the team. If the team is short-listed through this multi-step process, the state will only enter into contracts with the lead contractor or firm. The lead contractor or firm must be licensed by the State of Utah and comply with and require all of its subcontractors to comply with the license laws as required by the State of Utah.

Note: If submitting as a General Contractor the tile contractor you will be using as a sub-contractor will need to meet the requirements as set forth for the tile contractor. The General Contractor will need to submit the sub-contractors qualifications along with their own.

# MULTI-STEP BIDDING PROCESS SHORT-LISTING OF GENERAL CONTRACTORS

The short-listing of contractors will be based on the selection criteria outlined in this document.

#### 1. Multi-Step Bidding Documents

The Multi-Step bidding documents consist of all of the information contained in this solicitation and all documents listed in the Table of Contents. All said documents are incorporated in this document by reference.

#### 2. Availability of Documents

Bidding documents are available free of charge at the locations stated on the Schedule. The bidding documents are also available at DFCM's internet web site at <a href="http://dfcm.utah.gov">http://dfcm.utah.gov</a>.

#### 3. Drawings and Specifications and Interpretations

Drawings, specifications and other contract documents may be obtained as stated in the Notice to Contractors. If any firm is in doubt as to the meaning or interpretation of any part of the drawings, specifications, scope of work or contract documents, they shall submit, in writing, a request for interpretation to the authorized DFCM representative by the deadline identified in the schedule. Answers to questions and interpretations will be made via addenda issued by DFCM. Neither DFCM nor the designer shall be responsible for incorrect information obtained by contractors from sources other than the official drawings/specifications and addenda issued by DFCM.

## 4. <u>Contact Information</u>

Except as authorized by the DFCM Representative or as otherwise stated in the bidding documents or the pre-submittal meeting, communication during the multi-step bidding process shall be directed to the specified DFCM's Representative. In order to maintain the fair and equitable treatment of everyone, contractors shall not unduly contact or offer gifts or gratuities to owners, users or selection committee members in an effort to influence the selection process or in a manner that gives the appearance of influencing the selection process. This prohibition applies before the bidding documents are issued as the project is developed, and extends through the award of a contract. Failure to comply with this requirement may result in a disqualification from the multi-step bidding process. Contractors should be aware that selection committee members will be required to certify that they have not been contacted by any of the contractors in an attempt to influence the selection process.

#### 5. Requests for Information

All requests for information shall be in writing and directed to:

#### **Project Manager Vic Middleton**

Division of Facilities Construction and Management 4110 State Office Building Salt Lake City, Utah 84114

E-mail: vmiddlet@utah.gov Phone: 801-971-0504 Facsimile: (801) 538-3267

# MULTI-STEP BIDDING PROCESS PAGE NO. 2

#### 6. <u>Schedule</u>

The Schedule lists the important events, dates, times and locations of meetings and submittals that must be met by the contractor.

#### 7. Pre-Submittal Meeting

A **mandatory** pre-submittal meeting will be held on the date and time and at the location listed on the Schedule. During the meeting, questions will be answered about the multi-step bidding process. Questions about the project, plans and specifications will also be addressed. Attendance at this meeting is mandatory for General Contractors.

#### 8. Submittal Due Dates and Times

All required submittals must be delivered to, and received by, the Division of Facilities Construction and Management by the time deadline established in the Schedule. <u>Submittals received after the specified time deadline will not be accepted.</u> Please allow adequate time for delivery. If using a courier service, the contractor is responsible for ensuring that delivery will be made directly to the required location prior to the deadline.

## 9. <u>Last Day to Submit Questions</u>

Questions must be submitted in writing to the DFCM project manager by the deadline listed on the Schedule.

#### 10. Addendum

All clarifications will be in writing and issued as addenda to the RFS. Addenda will be posted on DFCM's web site at <a href="http://dfcm.utah.gov">http://dfcm.utah.gov</a>. Contractors are responsible for obtaining information contained in the addenda from the web site. Any addenda issued prior to the submittal deadline shall become part of the multi-step bidding process and any information required must be included in the contractor's submittal. Addenda issued prior to the submittal deadline shall become part of the bidding process and must be acknowledged on the bid form. Failure to acknowledge addenda may result in disqualification from bidding. DFCM shall be responsible for incorrect information obtained by contractors from sources other than official addenda issued by DFCM.

#### 11. Bid Bond Requirements

Short-listed contractors will be required to submit a bid bond in the amount of five percent (5%) of the bid amount made payable to the Division of Facilities Construction and Management on all bids. **The bid bond must be on the "Bid Bond Form" provided in this RFS (procurement documents) in order to be considered an acceptable bid.** If the bid bond security is submitted on a form other than DFCM's required "Bid Bond Form" and the bid security meets all other legal requirements, the contractor will be allowed to provide an acceptable bid bond by the close of business on the next business day following notification by DFCM of submission of a defective bid bond security.

#### 12. Performance and References

DFCM will rate each firm's performance on every project worked on (rating scale: 1 = low; 5 = high). The rating may include comments from agencies. The firm will have an opportunity to review and comment on their ratings. Ratings on DFCM projects over the previous five years will be provided to the selection committee for their consideration in evaluating and scoring the past performance of each firm. If a firm has not completed at least three DFCM projects in the last five years, they shall provide by the time indicated on the Schedule, a list of references on additional projects for a total of five projects. References should include: (a) name and address of the project; (b) name and phone number of the person able to answer questions about the project; (c) date of when the work was completed; (d) the cost of the project and the type of project (school, office, warehouse, etc). One reference submitted must be a tile project of size and nature of the Vernal Field House project.

#### 13. Statement of Qualifications

The Contractor (firm) shall provide five copies of a statement of qualifications by the time indicated on the Schedule. The statement should describe: (a) the financial viability of your firm; (b) the experience, skill level and qualifications of your firm - identify the specific project manager and site superintendent that will be assigned to this project; (c) provide examples of similar projects completed by your firm and the specific project manager and site superintendent that will be assigned to this project; (d) describe your firm's areas of expertise and other special qualifications as they pertain to this project; (e) document your firm's track record of completing projects on time and within budget; (f) explain your firm's reputation and commitment to high-quality workmanship; and (g) document your firm's ability to comply with the bonding requirements outlined earlier in this document. The statement of qualifications should be concise (limit three pages) yet contain sufficient information for evaluation by the selection committee. Note: If multiple firms combine to form a team, only the lead contractor or firm will be allowed to bid on projects. In addition, if any member of the team (contractor or firm) withdraws from the team, the entire team is disqualified and will not be allowed to bid.

Note: Contractor must have a minimum of 5 years experience in related field of work (Tile).

#### 14. Termination or Debarment Certification

Each firm must submit a certification that neither it nor its principals are presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from soliciting work by any governmental department or agency. The firm must also certify that neither the firm nor its principals have been terminated during the performance of a contract or withdrew from a contract to avoid termination. If the firm cannot certify to these statements, the firm shall submit a written explanation. Firms are to submit the certifications with their Statement of Qualifications.

#### 15. Project Management Plan

Each Contractor (firm) shall provide five copies of a document describing their management plan by the time indicated on the Schedule. The document should include: (a) the process used for selecting and managing subcontractors; (b) a description of how the your firm is organized - pertaining to this project, document who will be in charge with decision making authority; (c) a project schedule detailing your firm's plan to ensure that the project will be completed on time (include timeline for ordering long lead materials and equipment); (d) a description of the process (action plan) your firm will take to bring the project back on schedule if it falls behind; (e) the procedures your firm has in place to minimize change orders; (f) the methodology used to ensure the accuracy of your bid; (g) your firm's approach to site security and project safety; (h) your firm's understanding of DFCM's construction general conditions and contract requirements; and (i) any other information that will assist the selection committee in evaluating your firm's approach to project management. Be specific as to how you will address the access to the facility, control of dust and debris during demolition and keeping the building functioning during the project.

Include an organization chart of key personnel and a description of their duties. The management plan document should be concise (**limit three pages**) yet contain sufficient information for evaluation by the selection committee. The organization chart is a separate document and is not counted as one of the two pages.

#### 16. Selection Committee

The selection committee will evaluate and score each firm/team. Committee members may include individuals from DFCM, User Agency/Institution, and a representative from the design or construction disciplines.

#### 17. Interviews.

If interviews are required, firms will be notified of the date and time of their interview. Otherwise, the selection committee reserves the right to short-list firms/teams based on their submitted past performance ratings/references, statement of qualifications and project management plan.

If necessary, interviews will be conducted with all responsive and responsible contractors. Firms that are late or do not appear for the interview may be disqualified by the committee. The evaluation will be made using the selection criteria contained in this document. Information provided by the past performance/references, statement of qualifications, project management plan and the interview will be evaluated using the selection criteria as the basis for the selection. The purpose of the interview is to allow contractors an opportunity to present their qualifications, discuss past performance/references and describe their project management plan. It will also provide an opportunity for the selection committee to ask questions about these items. Firms may elect to have management personnel, project managers and superintendents in attendance. Attendance of subcontractors is at the discretion of the contractor. The method of presentation is at the discretion of the contractor.

#### 18. Selection Criteria

The following criteria and weighting will be used in evaluating each firm/team. The selection committee will consider all criteria in performing a comprehensive evaluation of each firm/team. Each firm/team will be scored by each selection committee member in the categories listed below.

- **A. Performance Rating/References.** The committee will receive a past performance rating and/or reference score for each firm/team. DFCM will compute the score for each firm/team based upon the information outlined earlier in this document. **Possible Points: 20**
- **B. Statement of Qualifications.** The committee will evaluate and score each firm's/team's qualifications in accordance with the information outlined earlier in this document as well as additional information about the firm's/team's qualifications presented during the interview. **Possible Points: 45**
- C. Project Management Plan. The committee will evaluate and score each firm's/team's project management approach in accordance with the information outlined earlier in this document as well as additional information about the firm's/team's project management approach presented during the interview. Possible Points: 35

**TOTAL POINTS = 100 POINTS** 

## 19. Short-Listing

DFCM will **short-list up to four firms** receiving the highest score above the minimum score of 85 points from the selection committee. No firms receiving fewer than 85 points will be short-listed. Only short-listed firms will be invited to bid on this project. During the bidding process, the final contractor selection will be based on the lowest responsive and responsible bidder.

#### 20. Product Approvals

Where reference is made to one or more proprietary products in the contract documents, but restrictive descriptive materials of one or more manufacturer(s) is referred to in the contract documents, the products of other manufacturers will be accepted, provided they equal or exceed the standards set forth in the drawings and specifications and are compatible with the intent and purpose of the design, subject to the written approval of the Designer. Such written approval must occur prior to the deadline established for the last scheduled addendum to be issued. The Designer's written approval will be included as part of the addendum issued by DFCM. If the descriptive material is not restrictive, the products of other manufacturers specified will be accepted without prior approval provided they are compatible with the intent and purpose of the design as determined by the Designer.

#### 21. Trade Secrets or Confidential Matters

Any submitter may designate those portions of the submittals which contain trade secrets or other confidential matters that the Governmental Records and Access Management Act (GRAMA) would allow to be a protected record. Any disclosure of submittals or portions thereof shall be in accordance with GRAMA and State law.

#### 22. Financial Responsibility of Contractors, Subcontractors and Sub-subcontractors

Contractors shall respond promptly to any inquiry in writing by DFCM to any concern of financial responsibility of the Contractor, Subcontractor or Sub-subcontractor. Failure to respond may result in the Contractor (firm) receiving a poor performance rating on this project.

#### 23. Licensure

The Contractor shall comply with and require all of its Subcontractors to comply with the license laws as required by the State of Utah.

#### 24. Permits

In concurrence with the requirements for permitting in the General Conditions, it is the responsibility of the Contractor to obtain the fugitive dust plan requirements from the Utah Division of Air Quality and the SWPPP requirements from the Utah Department of Environmental Quality and submit the completed forms and pay any permit fee that may be required for this specific project. Failure to obtain the required permit may result in work stoppage and/or fines from the regulating authority that will be the sole responsibility of the Contractor. Any delay to the project as a result of any such failure to obtain the permit or noncompliance with the permit shall not be eligible for any extension in the Contract Time.

#### 25. <u>Time is of the Essence</u>

Time is of the essence in regard to all the requirements of the contract documents.

#### **26.** Bids

Before submitting a bid, each bidder shall carefully examine the contract documents; shall visit the site of the work; shall fully inform themselves as to all existing conditions and limitations; and shall include in the bid the cost of all items required by the contract documents including those added via addenda. If the bidder observes that portions of the contract documents are at variance with applicable laws, building codes, rules, regulations or contain obvious erroneous or uncoordinated information, the bidder shall promptly notify the DFCM Project Manager. Changes necessary to correct these issues will be made via addenda issued by DFCM.

The bid, bearing original signatures, must be typed or handwritten in ink on the Bid Form provided in the procurement documents and submitted in a sealed envelope at the location specified by the Notice to Contractor's prior to the published deadline for the submission of bids.

Bid bond security, in the amount of five percent (5%) of the bid, made payable to the Division of Facilities Construction and Management, shall accompany bid. THE BID BOND MUST BE ON THE BID BOND FORM PROVIDED IN THE PROCUREMENT DOCUMENTS IN ORDER TO BE CONSIDERED AN ACCEPTABLE BID.

If the bid bond security is submitted on a form other than the Owner's required bid bond form, and the bid security meets all other legal requirements, the bidder will be allowed to provide an acceptable bid bond by the close of business on the next business day following notification by DFCM of submission of a defective bid bond security. A cashier's check cannot be used as a substitute for a bid bond.

#### 27. <u>Listing of Subcontractors</u>

Listing of Subcontractors shall be as summarized in the "Instructions and Subcontractors List Form", included as part of the contract documents. The Subcontractors List shall be delivered to DFCM or faxed to DFCM at (801)538-3677 within 24 hours of the bid opening. Requirements for listing additional subcontractors will be listed in the contract documents.

DFCM retains the right to audit or take other steps necessary to confirm compliance with requirements for the listing and changing of subcontractors. Any contractor who is found to not be in compliance with these requirements may receive a poor performance rating on this project.

#### 28. <u>Contract and Bond</u>

The Contractor's Agreement will be in the form found in the specifications. The contract time will be as indicated in the bid. The successful bidder, simultaneously with the execution of the Contract Agreement, will be required to furnish a performance bond and a payment bond, both bearing original signatures, upon the forms provided in the procurement documents. The performance and payment bonds shall be for an amount equal to one hundred percent (100%) of the Contract Sum and secured from a company that meets the requirements specified in the requisite forms. Any bonding requirements for Subcontractors will be specified in the Supplementary General Conditions.

# MULTI-STEP BIDDING PROCESS PAGE NO. 7

# 29. Award of Contract

The Contract will be awarded as soon as possible to the lowest, responsive and responsible bidder, based on the lowest combination of base bid and acceptable prioritized alternates, provided the bid is reasonable, is in the interests of DFCM to accept and after applying the Utah Preference Laws in U.C.A. Title 63, Chapter 56. DFCM reserves the right to waive any technicalities or formalities in any bid or in the bidding. Alternates will be accepted on a prioritized basis with Alternate 1 being highest priority, Alternate 2 having second priority, etc.

## 30. Right to Reject Bids

DFCM reserves the right to reject any or all Bids.

## 31. Withdrawal of Bids

Bids may be withdrawn on written request received from bidders within 24 hours after the bid opening if the contractor has made an error in preparing the bid.



# **Division of Facilities Construction and Management**

**DFCM** 

# **MULTI-STEP PROJECT SCHEDULE**

PROJECT NAME: VERNAL FIELDHOUSE TILE FLOOR REPLACEMENT				
		PARKS & RECREA	TION – VERN	IAL, UTAH
	8204510			
Event	Day	Date	Time	Place
Document Available, including	Tuesday	February 10, 2009	3:00 PM	DFCM
Plans and Specifications				4110 State Office Building SLC, UT and DFCM web site*
Mandatory Pre-Submittal Meeting	Wednesday	February 18, 2009	11:00 AM	Vernal Field House 496 East Main Vernal, Utah
Last Day to Submit Questions on Short listing (In Writing)	Friday	February 20, 2009	4:00 PM	Vic Middleton- DFCM E-mail vmiddlet@utah.gov
Addendum on Short listing	Monday	February 23, 2009	3:00 PM	DFCM web site*
List of References, Statement of Qualifications, Project Management Plan, and Termination/Debarment Certification Due	Tuesday	March 3, 2009	12:00 NOON	DFCM 4110 State Office Building SLC, UT
Short-List Announced	Monday	March 9, 2009	2:00 PM	
Notice: Only	Short-Listed	Firms Will Be Allow	ed To Bid On T	This Project
Last Day to Submit Questions (In Writing)	Tuesday	March 10, 2009	3:00 PM	Vic Middleton- DFCM E-mail vmiddlet@utah.gov
Final Addendum (exception for bid delays)	Thursday	March 12, 2009	2:00 PM	DFCM web site*
Prime Contractors Turn in Bid and Bid Bond/Bid Opening in DFCM Conference Room	Wednesday	March 18, 2009	2:30 PM	DFCM 4110 State Office Building SLC, UT
Subcontractors List Due	Thursday	March 19, 2009	2:30 PM	DFCM 4110 State Office Building SLC, UT Fax (801)-538-3677
Project Completion Date	Friday	July 3, 2009		

<sup>\*</sup> DFCM's web site address is <a href="http://dfcm.utah.gov">http://dfcm.utah.gov</a>



#### STATE OF UTAH - DEPARTMENT OF ADMINISTRATIVE SERVICES

**DFCM** 

# **Division of Facilities Construction and Management**

# **BID FORM**

NAME OF BIDDER	DATE
To the Division of Facilities Construction and Managemer 4110 State Office Building Salt Lake City, Utah 84114	nt
The undersigned, responsive to the "Notice to Contractors in compliance with your invitation for bids for the <u>Vernal Parks &amp; Recreation – Vernal, Utah – DFCM Project N</u> Documents and the site of the proposed Work and being faconstruction of the proposed Project, including the availab materials and supplies as required for the Work in accorda within the time set forth and at the price stated below. The the Work required under the Contract Documents of which	Fieldhouse Tile Floor Replacement – Division of to. 08204510 and having examined the Contract amiliar with all of the conditions surrounding the bility of labor, hereby proposes to furnish all labor, ance with the Contract Documents as specified and is price is to cover all expenses incurred in performing
I/We acknowledge receipt of the following Addenda:	
For all work shown on the Drawings and described in the perform for the sum of:	Specifications and Contract Documents, I/we agree to
	DOLLARS (\$)
(In case of discrepancy, written amount shall govern)	
I/We guarantee that the Work will be Substantially Complebidder, and agree to pay liquidated damages in the amount the Contract Time as stated in Article 3 of the Contractor's	t of \$500.00 per day for each day after expiration of
This bid shall be good for 45 days after bid opening.	
Enclosed is a 5% bid bond, as required, in the sum of	
The undersigned Contractor's License Number for Utah is	
Upon receipt of notice of award of this bid, the undersigned unless a shorter time is specified in the Contract Documen bonds in the prescribed form in the amount of 100% of the contract.	ats, and deliver acceptable Performance and Payment

# BID FORM PAGE NO. 2

The Bid Bond attached, in the amount not less than five percent (5%) of the above bid sum, shall become the property of the Division of Facilities Construction and Management as liquidated damages for delay and additional expense caused thereby in the event that the contract is not executed and/or acceptable 100% Performance and Payment bonds are not delivered within the time set forth.

Type of Organization:	
(Corporation, Partnership, Individual, etc.)	
Any request and information related to Utah P	reference Laws:
	Respectfully submitted,
	Name of Bidder
	ADDRESS:
	Authorized Signature

**BID BOND** (Title 63, Chapter 56, U. C. A. 1953, as Amended)

#### KNOW ALL PERSONS BY THESE PRESENTS:

as the "Dringing!" and	neternated referred to
as Acceptable Securities on Federal Bonds and as Acceptable R and firmly bound unto the STATE OF UTAH, hereinafter referred	hereinafter referred to and authorized to a corporation organized and ith its principal office in the City of and authorized to ury Listed, (Circular 570, Companies Holding Certificates of Authority einsuring Companies); hereinafter referred to as the "Surety," are held to as the "Obligee," in the amount of \$(5% of the accompanying oal and Surety bind themselves, their heirs, executors, administrators, ents.
accompanying bid incorporated by reference herein, dat	SUCH that whereas the Principal has submitted to Obligee the red as shown, to enter into a contract in writing for the Project.
execute a contract and give bond to be approved by the Oblige notified in writing of such contract to the principal, then the sur liquidated damages and not as a penalty; if the said principal shall faithful performance thereof within ten (10) days after being notified be null and void. It is expressly understood and agreed that the limits of the said principal shall be null and void.	ABOVE OBLIGATION IS SUCH, that if the said principal does not e for the faithful performance thereof within ten (10) days after being m of the amount stated above will be forfeited to the State of Utah as a execute a contract and give bond to be approved by the Obligee for the fied in writing of such contract to the Principal, then this obligation shall iability of the Surety for any and all defaults of the Principal hereunder ceived, hereby stipulates and agrees that obligations of the Surety under f the bid opening.
<b>PROVIDED, HOWEVER,</b> that this Bond is executed 1953, as amended, and all liabilities on this Bond shall be detercopied at length herein.	pursuant to provisions of Title 63, Chapter 56, Utah Code Annotated, mined in accordance with said provisions to same extent as if it were
IN WITNESS WHEREOF, the above bounden parti- indicated below, the name and corporate seal of each corpora- undersigned representative, pursuant to authority of its governing	es have executed this instrument under their several seals on the date ate party being hereto affixed and these presents duly signed by its body.
DATED this day of, 2	
Principal's name and address (if other than a corporation):	Principal's name and address (if a corporation):
By:	Ву
Title:	Title:(Affix Corporate Seal)
nuc.	(Affix Corporate Seal)
	Surety's name and address:
STATE OF	
STATE OF) ss.	By:
COUNTY OF) ss.	By:(Affix Corporate Seal)
On this day of, 20, persona whose identity is personally known to me or proved to me on the say that he/she is the Attorney-in-fact of the above-named Surety	lly appeared before me, e basis of satisfactory evidence, and who, being by me duly sworn, did y Company, and that he/she is duly authorized to execute the same and to becoming sole surety upon bonds, undertakings and obligations, and
On this day of, 20, personal whose identity is personally known to me or proved to me on the say that he/she is the Attorney-in-fact of the above-named Sureth has complied in all respects with the laws of Utah in reference to	lly appeared before me, e basis of satisfactory evidence, and who, being by me duly sworn, did y Company, and that he/she is duly authorized to execute the same and to becoming sole surety upon bonds, undertakings and obligations, and the same.
On this day of , 20 , persona whose identity is personally known to me or proved to me on th say that he/she is the Attorney-in-fact of the above-named Suret has complied in all respects with the laws of Utah in reference that he/she acknowledged to me that as Attorney-in-fact executed Subscribed and sworn to before me this day of My Commission Expires:	lly appeared before me, e basis of satisfactory evidence, and who, being by me duly sworn, did y Company, and that he/she is duly authorized to execute the same and o becoming sole surety upon bonds, undertakings and obligations, and the same.



# **Division of Facilities Construction and Management**

**DFCM** 

## INSTRUCTIONS AND SUBCONTRACTORS LIST FORM

The three low bidders, as well as all other bidders that desire to be considered, are required by law to submit to DFCM within 24 hours of bid opening a list of <u>ALL</u> first-tier subcontractors, including the subcontractor's name, bid amount and other information required by Building Board Rule and as stated in these Contract Documents, based on the following:

#### DOLLAR AMOUNTS FOR LISTING

PROJECTS UNDER \$500,000: ALL FIRST-TIER SUBS \$20,000 OR OVER MUST BE LISTED ALL FIRST-TIER SUBS \$35,000 OR OVER MUST BE LISTED

- Any additional subcontractors identified in the bid documents shall also be listed.
- The DFCM Director may not consider any bid submitted by a bidder if the bidder fails to submit a subcontractor list meeting the requirements of State law.
- List subcontractors for base bid as well as the impact on the list that the selection of any alternate may have.
- Bidder may not list more than one subcontractor to perform the same work.
- If there are no subcontractors for the job that are required to be reported by State law (either because there are no subcontractors that will be used on the project or because there are no first-tier subcontractors over the dollar amounts referred to above), then you do not need to submit a sublist. If you do not submit a sublist, it will be deemed to be a representation by you that there are no subcontractors on the job that are required to be reported under State law. At any time, DFCM reserves the right to inquire, for security purposes, as to the identification of the subcontractors at any tier that will be on the worksite.

#### LICENSURE:

The subcontractor's name, the type of work, the subcontractor's bid amount, and the subcontractor's license number as issued by DOPL, if such license is required under Utah Law, shall be listed. Bidder shall certify that all subcontractors, required to be licensed, are licensed as required by State law. A subcontractor includes a trade contractor or specialty contractor and does not include suppliers who provide <u>only</u> materials, equipment, or supplies to a contractor or subcontractor.

#### **'SPECIAL EXCEPTION'**:

A bidder may list 'Special Exception' in place of a subcontractor when the bidder intends to obtain a subcontractor to perform the work at a later date because the bidder was unable to obtain a qualified or reasonable bid under the provisions of U.C.A.Section 63A-5-208(4). The bidder shall insert the term 'Special Exception' for that category of work, and shall provide documentation with the subcontractor list describing the bidder's efforts to obtain a bid of a qualified subcontractor at a reasonable cost and why the bidder was unable to obtain a qualified subcontractor bid. The Director must find that the bidder complied in good faith with State law requirements for any 'Special Exception' designation, in order for the bid to be considered. If awarded the contract, the Director shall supervise the bidder's efforts to obtain a qualified subcontractor bid. The amount of the awarded contract may not be adjusted to reflect the actual amount of the subcontractor's bid. Any listing of 'Special Exception' on the sublist form shall also include amount allocated for that work.

#### **GROUNDS FOR DISQUALIFICATION:**

The Director may not consider any bid submitted by a bidder if the bidder fails to submit a subcontractor list meeting the requirements of State law. Director may withhold awarding the contract to a particular bidder if one or more of the proposed subcontractors are considered by the Director to be unqualified to do the Work or for

# INSTRUCTIONS AND SUBCONTRACTORS LIST FORM PAGE NO. 2

such other reason in the best interest of the State of Utah. Notwithstanding any other provision in these instructions, if there is a good faith error on the sublist form, at the sole discretion of the Director, the Director may provide notice to the contractor and the contractor shall have 24 hours to submit the correction to the Director. If such correction is submitted timely, then the sublist requirements shall be considered met.

#### CHANGES OF SUBCONTRACTORS SPECIFICALLY IDENTIFIED ON SUBLIST FORM:

Subsequent to twenty-four hours after the bid opening, the contractor may change its listed subcontractors only after receiving written permission from the Director based on complying with all of the following criteria.

- (1) The contractor has established in writing that the change is in the best interest of the State and that the contractor establishes an appropriate reason for the change, which may include, but not is not limited to, the following reasons: the original subcontractor has failed to perform, or is not qualified or capable of performing, and/or the subcontractor has requested in writing to be released.
- (2) The circumstances related to the request for the change do not indicate any bad faith in the original listing of the subcontractors.
- (3) Any requirement set forth by the Director to ensure that the process used to select a new subcontractor does not give rise to bid shopping.
- (4) Any increase in the cost of the subject subcontractor work is borne by the contractor.
- Any decrease in the cost of the subject subcontractor work shall result in a deductive change order being issued for the contract for such decreased amount.
- (6) The Director will give substantial weight to whether the subcontractor has consented in writing to being removed unless the Contractor establishes that the subcontractor is not qualified for the work.

#### **EXAMPLE:**

Example of a list where there are only four subcontractors:

TYPE OF WORK	SUBCONTRACTOR, "SELF" OR "SPECIAL EXCEPTION"	SUBCONTRACTOR BID AMOUNT	CONTRACTOR LICENSE #
ELECTRICAL	ABCD Electric Inc.	\$350,000.00	123456789000
LANDSCAPING	"Self" *	\$300,000.00	123456789000
CONCRETE (ALTERNATE #1)	XYZ Concrete Inc	\$298,000.00	987654321000
MECHANICAL	"Special Exception" (attach documentation)	Fixed at: \$350,000.00	(TO BE PROVIDED AFTER OBTAINING SUBCONTRACTOR)

<sup>\*</sup> Bidders may list "self", but it is not required.

PURSUANT TO STATE LAW - SUBCONTRACTOR BID AMOUNTS CONTAINED IN THIS SUBCONTRACTOR LIST SHALL NOT BE DISCLOSED UNTIL THE CONTRACT HAS BEEN AWARDED.



PROJECT TITLE:

#### STATE OF UTAH - DEPARTMENT OF ADMINISTRATIVE SERVICES

**DFCM** 

# **Division of Facilities Construction and Management**

# SUBCONTRACTORS LIST FAX TO 801-538-3677

TYPE OF WORK	SUBCONTRACTOR, "SELF" OR "SPECIAL EXCEPTION"	SUBCONTRACTOR BID AMOUNT	CONT. LICENSE #
	+		
well as any alternates. We have listed "Self" or "Sp	tractors as required by the instructions, increased as required by State law	instructions.	o the base bid as
	FIRM:		
ATE:	SIGNED BY:		
OTICE: FAILURE TO SUBMI ONTRACT DOCUMENTS, SHA ONTRACT WITH BIDDER. AC	T THIS FORM, PROPERLY COMPLETED LL BE GROUNDS FOR OWNER'S REFUS TION MAY BE TAKEN AGAINST BIDDE TACH A SECOND PAGE IF NECESSARY	AND SIGNED, AS REG SAL TO ENTER INTO A ERS BID BOND AS DEF	QUIRED IN THES A WRITTEN

300/300/	/FVA/	/_	/_	_/_
	Project	No.		

# **CONTRACTOR'S AGREEMENT**

FOR:		
between the DIVISIO	S AGREEMENT, made and entered into this day of, 2006, by and OF FACILITIES CONSTRUCTION AND MANAGEMENT, hereinafter reference, incorporated in the State of Utah and authorized to do Utah, hereinafter referred to as "Contractor", whose address isUtah	
WITNESSETH: WHI	REAS, DFCM intends to have Work performed at	
WHEREAS, Contracto	agrees to perform the Work for the sum stated herein.	
NOW, THEREFORE, Agreement, agree as for	FCM and Contractor for the consideration provided in this Contractor's ows:	
	OF WORK. The Work to be performed shall be in accordance with the pared by and entitled"	
Conditions dated July and available on the D are included in the spe	nditions ("General Conditions") dated May 25, 2005 and Supplemental General 5, 2008 (also referred to as "General Condition"), on file at the office of DFCM CM website, are hereby incorporated by reference as part of this Agreement and fications for this Project. All terms used in this Contractor's Agreement shall be at Documents, and in particular, the General Conditions.	
the Contract Document parties hereto that all V subject to inspection a	o furnish labor, materials and equipment to complete the Work as required in which are hereby incorporated by reference. It is understood and agreed by the ork shall be performed as required in the Contract Documents and shall be approval of DFCM or its authorized representative. The relationship of the hereunder is that of an independent Contractor.	
	ACT SUM. The DFCM agrees to pay and the Contractor agrees to accept in Contractor's Agreement, the sum of  DOLLARS AND	
NO CENTS (\$	), which is the base bid, and includes the cost of a 100%	

# CONTRACTOR'S AGREEMENT PAGE NO. 2

Performance Bond and a 100% Payment Bond as well as all insurance requirements of the Contractor. Said bonds have already been posted by the Contractor pursuant to State law. The required proof of insurance certificates have been delivered to DFCM in accordance with the General Conditions before the execution of this Contractor's Agreement.

ARTICLE 3. TIME OF COMPLETION AND DELAY REMEDY. The Work shall be Substantially Complete by \_\_\_\_\_\_\_\_\_ after the date of the Notice to Proceed. Contractor agrees to pay liquidated damages in the amount of \_\_\_\_\_ per day for each day after expiration of the Contract Time until the Contractor achieves Substantial Completion in accordance with the Contract Documents, if Contractor's delay makes the damages applicable. The provision for liquidated damages is: (a) to compensate the DFCM for delay only; (b) is provided for herein because actual damages can not be readily ascertained at the time of execution of this Contractor's Agreement; (c) is not a penalty; and (d) shall not prevent the DFCM from maintaining Claims for other non-delay damages, such as costs to complete or remedy defective Work.

No action shall be maintained by the Contractor, including its or Subcontractor or suppliers at any tier, against the DFCM or State of Utah for damages or other claims due to losses attributable to hindrances or delays from any cause whatsoever, including acts and omissions of the DFCM or its officers, employees or agents, except as expressly provided in the General Conditions. The Contractor may receive a written extension of time, signed by the DFCM, in which to complete the Work under this Contractor's Agreement in accordance with the General Conditions.

**ARTICLE 4. CONTRACT DOCUMENTS.** The Contract Documents consist of this Contractor's Agreement, the Conditions of the Contract (DFCM General Conditions, Supplementary and other Conditions), the Drawings, Specifications, Addenda and Modifications. The Contract Documents shall also include the bidding documents, including the Notice to Contractors, Instructions to Bidders/Proposers and the Bid/Proposal, to the extent not in conflict therewith and other documents and oral presentations that are documented as an attachment to the contract.

All such documents are hereby incorporated by reference herein. Any reference in this Contractor's Agreement to certain provisions of the Contract Documents shall in no way be construed as to lessen the importance or applicability of any other provisions of the Contract Documents.

**ARTICLE 5. PAYMENT.** The DFCM agrees to pay the Contractor from time to time as the Work progresses, but not more than once each month after the date of Notice to Proceed, and only upon Certificate of the A/E for Work performed during the preceding calendar month, ninety-five percent (95%) of the value of the labor performed and ninety-five percent (95%) of the value of materials furnished in place or on the site. The Contractor agrees to furnish to the DFCM invoices for materials purchased and on the site but not installed, for which the

# CONTRACTOR'S AGREEMENT PAGE NO. 3

Contractor requests payment and agrees to safeguard and protect such equipment or materials and is responsible for safekeeping thereof and if such be stolen, lost or destroyed, to replace same.

Such evidence of labor performed and materials furnished as the DFCM may reasonably require shall be supplied by the Contractor at the time of request for Certificate of Payment on account. Materials for which payment has been made cannot be removed from the job site without DFCM's written approval. Five percent (5%) of the earned amount shall be retained from each monthly payment. The retainage, including any additional retainage imposed and the release of any retainage, shall be in accordance with UCA 13-8-5 as amended. Contractor shall also comply with the requirements of UCA 13-8-5, including restrictions of retainage regarding subcontractors and the distribution of interest earned on the retention proceeds. The DFCM shall not be responsible for enforcing the Contractor's obligations under State law in fulfilling the retention law requirements with subcontractors at any tier.

**ARTICLE 6. INDEBTEDNESS.** Before final payment is made, the Contractor must submit evidence satisfactory to the DFCM that all payrolls, materials bills, subcontracts at any tier and outstanding indebtedness in connection with the Work have been properly paid. Final Payment will be made after receipt of said evidence, final acceptance of the Work by the DFCM as well as compliance with the applicable provisions of the General Conditions.

Contractor shall respond immediately to any inquiry in writing by DFCM as to any concern of financial responsibility and DFCM reserves the right to request any waivers, releases or bonds from Contractor in regard to any rights of Subcontractors (including suppliers) at any tier or any third parties prior to any payment by DFCM to Contractor.

**ARTICLE 7. ADDITIONAL WORK.** It is understood and agreed by the parties hereto that no money will be paid to the Contractor for additional labor or materials furnished unless a new contract in writing or a Modification hereof in accordance with the General Conditions and the Contract Documents for such additional labor or materials has been executed. The DFCM specifically reserves the right to modify or amend this Contractor's Agreement and the total sum due hereunder either by enlarging or restricting the scope of the Work.

**ARTICLE 8. INSPECTIONS.** The Work shall be inspected for acceptance in accordance with the General Conditions.

**ARTICLE 9. DISPUTES.** Any dispute, PRE or Claim between the parties shall be subject to the provisions of Article 7 of the General Conditions. DFCM reserves all rights to pursue its rights and remedies as provided in the General Conditions.

**ARTICLE 10. TERMINATION, SUSPENSION OR ABANDONMENT.** This Contractor's Agreement may be terminated, suspended or abandoned in accordance with the General Conditions.

ARTICLE 11. DFCM'S RIGHT TO WITHHOLD CERTAIN AMOUNT AND MAKE USE THEREOF. The DFCM may withhold from payment to the Contractor such amount as, in DFCM's judgment, may be necessary to pay just claims against the Contractor or Subcontractor at any tier for labor and services rendered and materials furnished in and about the Work. The DFCM may apply such withheld amounts for the payment of such claims in DFCM's discretion. In so doing, the DFCM shall be deemed the agent of Contractor and payment so made by the DFCM shall be considered as payment made under this Contractor's Agreement by the DFCM to the Contractor. DFCM shall not be liable to the Contractor for any such payment made in good faith. Such withholdings and payments may be made without prior approval of the Contractor and may be also be prior to any determination as a result of any dispute, PRE, Claim or litigation.

**ARTICLE 12. INDEMNIFICATION.** The Contractor shall comply with the indemnification provisions of the General Conditions.

ARTICLE 13. SUCCESSORS AND ASSIGNMENT OF CONTRACT. The DFCM and Contractor, respectively bind themselves, their partners, successors, assigns and legal representatives to the other party to this Agreement, and to partners, successors, assigns and legal representatives of such other party with respect to all covenants, provisions, rights and responsibilities of this Contractor's Agreement. The Contractor shall not assign this Contractor's Agreement without the prior written consent of the DFCM, nor shall the Contractor assign any moneys due or to become due as well as any rights under this Contractor's Agreement, without prior written consent of the DFCM.

**ARTICLE 14. RELATIONSHIP OF THE PARTIES.** The Contractor accepts the relationship of trust and confidence established by this Contractor's Agreement and covenants with the DFCM to cooperate with the DFCM and A/E and use the Contractor's best skill, efforts and judgment in furthering the interest of the DFCM; to furnish efficient business administration and supervision; to make best efforts to furnish at all times an adequate supply of workers and materials; and to perform the Work in the best and most expeditious and economic manner consistent with the interests of the DFCM.

**ARTICLE 15. AUTHORITY TO EXECUTE AND PERFORM AGREEMENT.** Contractor and DFCM each represent that the execution of this Contractor's Agreement and the performance thereunder is within their respective duly authorized powers.

**ARTICLE 16. ATTORNEY FEES AND COSTS.** Except as otherwise provided in the dispute resolution provisions of the General Conditions, the prevailing party shall be entitled to reasonable attorney fees and costs incurred in any action in the District Court and/or appellate body to enforce this Contractor's Agreement or recover damages or any other action as a result of a breach thereof.

# CONTRACTOR'S AGREEMENT PAGE NO. 5

**IN WITNESS WHEREOF**, the parties hereto have executed this Contractor's Agreement on the day and year stated hereinabove.

	CONTRACTOR:	
	Signature	Date
	Title:	
State of)		
County of)	Please type/print name clearly	
whose identity is personally known to me (or	onally appeared before me,	nce) and
(SEAL)	Notary Public  My Commission Expires	
APPROVED AS TO AVAILABILITY OF FUNDS:	DIVISION OF FACILITIES CONSTRUCTION AND MANAGEM	IENT
David D. Williams, Jr. Date DFCM Administrative Services Director	Lynn A. Hinrichs Assistant Director Construction Manage	Date ement
APPROVED AS TO FORM: ATTORNEY GENERAL July 15, 2008	APPROVED FOR EXPENDITURE:	
By: Alan S. Bachman Asst Attorney General	Division of Finance	Date

**PERFORMANCE BOND** (Title 63, Chapter 56, U. C. A. 1953, as Amended)

That			reinafter referred to as t	1
Department of the Treasu Acceptable Reinsuring Con	, with its principal office in the City of _ ry Listed (Circular 570, Companies Holding mpanies); hereinafter referred to as the "Surety,	Certificates of Authority as Acceptab," are held and firmly bound unto the S	to transact business in to ble Securities on Federate of Utah, hereinafte	his State and U. S. eral Bonds and as referred to as the
payment whereof, the said firmly by these presents.	fPrincipal and Surety bind themselves and the	eir heirs, administrators, executors, succ	DOLLARS (S	ntly and severally,
WHEREAS, the	e Principal has entered into a certain written Co	ntract with the Obligee, dated the	day of	, 20,
in the County of	, State of Utah, Project No.	, for the approximate sum of	f	
which Contract is hereby in	e Principal has entered into a certain written Co, State of Utah, Project No acorporated by reference herein.		_ Dollars (\$	),
the Contract Documents in the Contract as said Contra	CFORE, the condition of this obligation is such actuding, but not limited to, the Plans, Specificat act may be subject to Modifications or changes,	tions and conditions thereof, the one ye then this obligation shall be void; otherw	ear performance warrant wise it shall remain in fu	y, and the terms of all force and effect.
administrators or successor	on shall accrue on this bond to or for the use of a s of the Owner.	any person of corporation other than the	state named herein or ti	ne nens, executors,
The parties agree parties.	ee that the dispute provisions provided in the C	Contract Documents apply and shall con	nstitute the sole dispute	procedures of the
	HOWEVER, that this Bond is executed purson this Bond shall be determined in accordance			
IN WITNESS V	WHEREOF, the said Principal and Surety have	signed and sealed this instrument this _	day of	, 20
WITNESS OR ATTESTA	ATION:	PRINCIPAL:		
		Ву:		
		Title:		(Seal)
WITNESS OR ATTESTA	ATION:	SURETY:		
		Ву:		
(0 1)		Attorney-in-Fact		
(Seal) STATE OF	) ss.			
identity is personally know Attorney in-fact of the above	, 20, personally appeared when to me or proved to me on the basis of satistic vernamed Surety Company and that he/she is coming sole surety upon bonds, undertakings and	sfactory evidence, and who, being by r duly authorized to execute the same and	me duly sworn, did say has complied in all resp	that he/she is the pects with the laws
My commission expires: _	efore me this day of	, 20		
Resides at:		NOTARY PUBLIC		
Agent: Address:			Approved As To Forn	n: May 25, 2005
· · · ·			n S. Bachman, Asst A	

# PAYMENT BOND

(Title 63, Chapter 56, U. C. A. 1953, as Amended)

## KNOW ALL PERSONS BY THESE PRESENTS:

	hereinafter referred to as the "Principal," and
, a corporation organized and existing under the	he laws of the State ofauthorized to do business in this
State and U. S. Department of the Treasury Listed (Circular 570, Compani	ies Holding Certificates of Authority as Acceptable Securities on Federal Bonds
and as Acceptable Reinsuring Companies); with its principal office in the Ci	ity of, hereinafter referred to as the "Surety," are held and
firmly bound unto the State of Utah hereinafter referred to as the "Obligee,"	in the amount of
Dollars (\$ ) for the p	payment whereof, the said Principal and Surety bind themselves and their heirs,
administrators, executors, successors and assigns, jointly and severally, firm	ly by these presents.
	tract with the Obligee, dated the day of, 20,
to construct	
in the County of, State of Utah, Project No	_ for the approximate sum of
incorporated by reference herein.	
· · · · · · · · · · · · · · · · · · ·	that if the said Principal shall pay all claimants supplying labor or materials to of Title 63, Chapter 56, of Utah Code Annotated, 1953, as amended, and in the shall be void; otherwise it shall remain in full force and effect.
terms of the Contract or to the Work to be performed thereunder, or the spec	tes and agrees that no changes, extensions of time, alterations or additions to the cifications or drawings accompanying same shall in any way affect its obligation s of time, alterations or additions to the terms of the Contract or to the Work or to Contract Documents.
<b>PROVIDED, HOWEVER,</b> that this Bond is executed pursua amended, and all liabilities on this Bond shall be determined in accordance v	ant to the provisions of Title 63, Chapter 56, Utah Code Annotated, 1953, as with said provisions to the same extent as if it were copied at length herein.
IN WITNESS WHEREOF, the said Principal and Surety have s	signed and sealed this instrument this $\_\_\_$ day of $\_\_\_$ , $20$ $\_\_\_$ .
WITNESS OR ATTESTATION:	PRINCIPAL:
(Seal)	By:
	Title:
WITNESS OR ATTESTATION:	SURETY:
	By:
CTATE OF	Attorney-in-Fact (Seal)
STATE OF)	Attorney-iii-ract (Seai)
) SS.	
COUNTY OF	
On this, 20,	personally appeared before me
	, whose identity is personally known to me or proved to me on the
basis of satisfactory evidence, and who, being by me duly sworn, did say the	hat he/she is the Attorney-in-fact of the above-named Surety Company, and that
	spects with the laws of Utah in reference to becoming sole surety upon bonds,
undertakings and obligations, and that he/she acknowledged to me that as At	
undertakings and sofigations, and that needs decisio wedged to me that as re-	worldy in fact executed the same.
Subscribed and sworn to before me this day of	20
	, 20
My commission expires:	
Resides at:	NOTADY DUDLIC
	NOTARY PUBLIC
Agency:	<b>  </b>
Agent:	<b> </b>
Address:	Approved As To Form: May 25, 2005
Phone:	By Alan S. Bachman, Asst Attorney General

Utah Field House of Natural History Vernal, Utah

#### SECTION 09300 - TILING

#### PART 1 - GENERAL

#### 1.1 SUMMARY

#### A. Section Includes:

- 1. Ceramic tile.
- 2. Crack isolation membrane.
- 3. Metal edge strips.

#### 1.2 DEFINITIONS

- A. General: Definitions in the ANSI A108 series of tile installation standards and in ANSI A137.1 apply to Work of this Section unless otherwise specified.
- B. ANSI A108 Series: ANSI A108.01, ANSI A108.02, ANSI A108.1A, ANSI A108.1B, ANSI A108.1C, ANSI A108.4, ANSI A108.5, ANSI A108.6, ANSI A108.8, ANSI A108.9, ANSI A108.10, ANSI A108.11, ANSI A108.12, ANSI A108.13, ANSI A108.14, ANSI A108.15, ANSI A108.16, and ANSI A108.17, which are contained in "American National Standard Specifications for Installation of Ceramic Tile."
- C. Module Size: Actual tile size plus joint width indicated.
- D. Face Size: Actual tile size, excluding spacer lugs.

#### 1.3 PERFORMANCE REQUIREMENTS

- A. Static Coefficient of Friction: For tile installed on walkway surfaces, provide products with the following values as determined by testing identical products per ASTM C 1028:
  - 1. Level Surfaces: Minimum 0.6.

#### 1.4 SUBMITTALS

- A. Product Data: For each type of product indicated.
- B. Shop Drawings: Show locations of each type of tile and tile pattern. Show widths, details, and locations of expansion, contraction, control, and isolation joints in tile substrates and finished tile surfaces.
- C. Samples for Initial Selection: For each type of tile and grout indicated. Include Samples of accessories involving color selection.
- D. Samples for Verification:

- 1. Full-size units of each type and composition of tile and for each color and finish required.
- 2. Full-size units of each type of trim and accessory.
- 3. Metal edge strips in 6-inch (150-mm) lengths.
- E. Qualification Data: For qualified Installer.
- F. Master Grade Certificates: For each shipment, type, and composition of tile, signed by tile manufacturer and Installer.
- G. Product Certificates: For each type of product, signed by product manufacturer.
- H. Material Test Reports: For each tile-setting and -grouting product.

#### 1.5 QUALITY ASSURANCE

- A. Source Limitations for Tile: Obtain tile from one source or producer.
  - 1. Obtain tile of each type and color or finish from same production run and of consistent quality in appearance and physical properties for each contiguous area.
- B. Source Limitations for Setting and Grouting Materials: Obtain ingredients of a uniform quality for each mortar, adhesive, and grout component from one manufacturer and each agaregate from one source or producer.
- C. Source Limitations for Other Products: Obtain each of the following products specified in this Section from a single manufacturer for each product:
  - 1. Crack isolation membrane.
  - 2. Joint sealants.
  - 3. Metal edge strips.
- D. Preinstallation Conference: Conduct conference at Project site.
  - 1. Review requirements in ANSI A108.01 for substrates and for preparation by other trades.

#### 1.6 DELIVERY, STORAGE, AND HANDLING

- A. Deliver and store packaged materials in original containers with seals unbroken and labels intact until time of use. Comply with requirements in ANSI A137.1 for labeling tile packages.
- B. Store tile and cementitious materials on elevated platforms, under cover, and in a dry location.
- C. Store aggregates where grading and other required characteristics can be maintained and contamination can be avoided.
- D. Store liquid materials in unopened containers and protected from freezing.

E. Handle tile that has temporary protective coating on exposed surfaces to prevent coated surfaces from contacting backs or edges of other units. If coating does contact bonding surfaces of tile, remove coating from bonding surfaces before setting tile.

#### 1.7 PROJECT CONDITIONS

A. Environmental Limitations: Do not install tile until construction in spaces is complete and ambient temperature and humidity conditions are maintained at the levels indicated in referenced standards and manufacturer's written instructions.

#### 1.8 EXTRA MATERIALS

- A. Furnish extra materials that match and are from same production runs as products installed and that are packaged with protective covering for storage and identified with labels describing contents.
  - 1. Tile and Trim Units: Furnish quantity of full-size units equal to 3 percent of amount installed for each type, composition, color, pattern, and size indicated.
  - 2. Grout: Furnish quantity of grout equal to 3 percent of amount installed for each type, composition, and color indicated.

#### PART 2 - PRODUCTS

#### 2.1 PRODUCTS, GENERAL

- A. ANSI Ceramic Tile Standard: Provide tile that complies with ANSI A137.1 for types, compositions, and other characteristics indicated.
  - 1. Provide tile complying with Standard grade requirements unless otherwise indicated.
- B. ANSI Standards for Tile Installation Materials: Provide materials complying with ANSI A108.02, ANSI standards referenced in other Part 2 articles, ANSI standards referenced by TCA installation methods specified in tile installation schedules, and other requirements specified.
- C. Factory Blending: For tile exhibiting color variations within ranges, blend tile in factory and package so tile units taken from one package show same range in colors as those taken from other packages and match approved Samples.
- D. Mounting: For factory-mounted tile, provide back- or edge-mounted tile assemblies as standard with manufacturer unless otherwise indicated.
- E. Factory-Applied Temporary Protective Coating: Where indicated under tile type, protect exposed surfaces of tile against adherence of mortar and grout by precoating with continuous film of petroleum paraffin wax, applied hot. Do not coat unexposed tile surfaces.

#### 2.2 TILE PRODUCTS

- A. Tile Type: Factory-mounted ceramic mosaic tile.
  - 1. Basis-of-Design Product: Subject to compliance with requirements, provide product indicated on Drawings or comparable product by one of the following:
    - a. American Olean; Division of Dal-Tile International Inc.
    - b. Daltile: Division of Dal-Tile International Inc.
    - c. Interceramic.
  - 2. Composition: Vitreous or impervious natural clay or porcelain.
  - 3. Module Size: 2" x 2" (50.8 x 50.8 mm).
  - 4. Thickness: 1/4 inch (6.35 mm).
  - 5. Face: Plain with cushion edges.
  - 6. Surface: Smooth, without Slip-resistant abrasive admixture.
  - 7. Tile Color and Pattern: As indicated by manufacturer's designations
  - 8. Grout Color: As selected by Architect from manufacturer's full range.

#### 2.3 CRACK ISOLATION MEMBRANE

- A. General: Manufacturer's standard product, selected from the following, that complies with ANSI A118.12 for high performance and is recommended by the manufacturer for the application indicated. Include reinforcement and accessories recommended by manufacturer.
- B. Fabric-Reinforced, Modified-Bituminous Sheet: Self-adhering, modified-bituminous sheet with fabric reinforcement facing; 0.040-inch (1.01-mm) nominal thickness.
  - 1. Products: Subject to compliance with requirements, provide one of the following:
    - a. MAPEI Corporation; Mapeguard SM.
    - b. National Applied Construction Products, Inc.; Strataflex.
    - c. Approved equal.

#### 2.4 SETTING MATERIALS

- A. Portland Cement Mortar (Thickset) Installation Materials: ANSI A108.02.
  - 1. Crack Isolation Membrane: Fabric-Reinforced, Modified-Bituminous Sheet listed above.
  - 2. Reinforcing Wire Fabric: Galvanized, welded wire fabric, 2 by 2 inches (50.8 by 50.8 mm) by 0.062-inch (1.57-mm) diameter; comply with ASTM A 185 and ASTM A 82 except for minimum wire size.
  - Latex Additive: Manufacturer's standard acrylic resin or styrene-butadiene-rubber water emulsion, serving as replacement for part or all of gaging water, of type specifically recommended by latex-additive manufacturer for use with field-mixed portland cement and aggregate mortar bed.
- B. Bond Coat, Latex-Portland Cement Mortar: Comply with requirements in ANSI A118.4. Provide product that is approved by manufacturer for application thickness of 5/8 inch (16 mm).

- 1. Basis-of-Design Product: Subject to compliance with requirements, provide Mapei Granirapid System, or comparable product by one of the following:
  - a. Custom Building Products.
  - b. Laticrete International, Inc.
  - c. MAPEI Corporation.
  - d. Mer-Kote Products, Inc.
- 2. Provide prepackaged, dry-mortar mix combined with manufacturer's recommended liquid-latex additive at Project site.

#### 2.5 GROUT MATERIALS

- A. Polymer-Modified Tile Grout: ANSI A118.7.
  - 1. Basis-of-Design Product: Subject to compliance with requirements, provide Mapei, Ultracolor Plus, or comparable product by one of the following:
    - a. Custom Building Products.
    - b. Laticrete International, Inc.
    - c. MAPEI Corporation.
    - d. Southern Grouts & Mortars, Inc.
  - 2. Polymer Type: Ethylene vinyl acetate or acrylic additive, in dry, redispersible form, prepackaged with other dry ingredients.

#### 2.6 ELASTOMERIC SEALANTS

- A. General: Provide sealants, primers, backer rods, and other sealant accessories that comply with the following requirements:
  - 1. Use sealants that have a VOC content of 250 g/L or less when calculated according to 40 CFR 59, Subpart D (EPA Method 24).
  - 2. Use primers, backer rods, and sealant accessories recommended by sealant manufacturer.
- B. Colors: Provide colors of exposed sealants to match colors of grout in tile adjoining sealed joints unless otherwise indicated.
- C. Multipart, Pourable Urethane Sealant for Use T: ASTM C 920; Type M; Grade P; Class 25; Uses T, M, A, and, as applicable to joint substrates indicated, O.
  - 1. Products: Subject to compliance with requirements, provide one of the following:
    - a. Bostik, Inc.; Chem-Calk 550.
    - b. Degussa Building Systems; Sonneborn Sonolastic SL 2.
    - c. Pecora Corporation; Dynatrol II-SG.
    - d. Sika Corporation; Sikaflex-2c SL.
    - e. Tremco Incorporated.: Vulkem 245.
    - f. Approved equal.

#### 2.7 MISCELLANEOUS MATERIALS

- A. Trowelable Underlayments and Patching Compounds: Latex-modified, portland cement-based formulation provided or approved by manufacturer of tile-setting materials for installations indicated.
- B. Metal Edge Strips: Angle or L-shape, height to match tile and setting-bed thickness, metallic or combination of metal and PVC or neoprene base, designed specifically for flooring applications; stainless-steel, ASTM A 666, 300 Series exposed-edge material.
- C. Temporary Protective Coating: Product indicated below that is formulated to protect exposed surfaces of tile against adherence of mortar and grout; compatible with tile, mortar, and grout products; and easily removable after grouting is completed without damaging grout or tile.
  - 1. Grout release in form of manufacturer's standard proprietary liquid coating that is specially formulated and recommended for use as temporary protective coating for tile.
- D. Tile Cleaner: A neutral cleaner capable of removing soil and residue without harming tile and grout surfaces, specifically approved for materials and installations indicated by tile and grout manufacturers.
- E. Grout Sealer: Manufacturer's standard silicone product for sealing grout joints and that does not change color or appearance of grout.
  - 1. Basis of Design Product: Subject to compliance with requirements, provide Mapei, Ker 003, or comparable product by one of the following:
    - a. Bonsal American; an Oldcastle company; Grout Sealer.
    - b. Bostik, Inc.; CeramaSeal Siloxane 220.
    - c. C-Cure; Penetrating Sealer 978.
    - d. Custom Building Products; Surfaceguard Grout Sealer.
    - e. MAPEI Corporation; Keraseal Penetrating Sealer for Unglazed Grout and Tile.
    - f. Southern Grouts & Mortars, Inc.; Silicone Grout Sealer.

#### 2.8 MIXING MORTARS AND GROUT

- A. Mix mortars and grouts to comply with referenced standards and mortar and grout manufacturers' written instructions.
- B. Add materials, water, and additives in accurate proportions.
- C. Obtain and use type of mixing equipment, mixer speeds, mixing containers, mixing time, and other procedures to produce mortars and grouts of uniform quality with optimum performance characteristics for installations indicated.

#### PART 3 - EXECUTION

#### 3.1 EXAMINATION

- A. Examine substrates, areas, and conditions where tile will be installed, with Installer present, for compliance with requirements for installation tolerances and other conditions affecting performance of installed tile.
  - Verify that substrates for setting tile are firm, dry, clean, free of coatings that are incompatible with tile-setting materials including curing compounds and other substances that contain soap, wax, oil, or silicone; and comply with flatness tolerances required by ANSI A108.01 for installations indicated.
  - 2. Verify that concrete substrates for tile floors installed with bonded mortar bed comply with surface finish requirements in ANSI A108.01 for installations indicated.
    - a. Verify that surfaces that received a steel trowel finish have been mechanically scarified.
    - b. Verify that protrusions, bumps, and ridges have been removed by sanding or grinding.
  - 3. Verify that installation of grounds, anchors, recessed frames, electrical and mechanical units of work, and similar items located in or behind tile has been completed.
  - 4. Verify that joints and cracks in tile substrates are coordinated with tile joint locations; if not coordinated, adjust joint locations in consultation with Architect.
- B. Proceed with installation only after unsatisfactory conditions have been corrected.

#### 3.2 PREPARATION

- A. Blending: For tile exhibiting color variations, verify that tile has been factory blended and packaged so tile units taken from one package show same range of colors as those taken from other packages and match approved Samples. If not factory blended, either return to manufacturer or blend tiles at Project site before installing.
- B. Field-Applied Temporary Protective Coating: If indicated under tile type or needed to prevent grout from staining or adhering to exposed tile surfaces, precoat them with continuous film of temporary protective coating, taking care not to coat unexposed tile surfaces.

#### 3.3 TILE INSTALLATION

- A. Comply with current TCA's "Handbook for Ceramic Tile Installation" for TCA installation methods specified in tile installation schedules. Comply with parts of the ANSI A108 Series "Specifications for Installation of Ceramic Tile" that are referenced in TCA installation methods, specified in tile installation schedules, and apply to types of setting and grouting materials used.
- B. Extend tile work into recesses and under or behind equipment and fixtures to form complete covering without interruptions unless otherwise indicated. Terminate work neatly at obstructions, edges, and corners without disrupting pattern or joint alignments.

- C. Accurately form intersections and returns. Perform cutting and drilling of tile without marring visible surfaces. Carefully grind cut edges of tile abutting trim, finish, or built-in items for straight aligned joints. Fit tile closely to electrical outlets, piping, fixtures, and other penetrations so plates, collars, or covers overlap tile.
- D. Jointing Pattern: Lay tile in grid pattern unless otherwise indicated. Lay out tile work and center tile fields in both directions in each space or on each wall area. Lay out tile work to minimize the use of pieces that are less than half of a tile. Provide uniform joint widths unless otherwise indicated.
  - 1. For tile mounted in sheets, make joints between tile sheets same width as joints within tile sheets so joints between sheets are not apparent in finished work.
  - 2. Where adjoining tiles on floor, base, walls, or trim are specified or indicated to be same size, align joints.
  - 3. Where tiles are specified or indicated to be whole integer multiples of adjoining tiles on floor, base, walls, or trim, align joints unless otherwise indicated.
- E. Joint Widths: Unless otherwise indicated, install tile with the following joint widths:
  - 1. Ceramic Mosaic Tile: 1/8 inch (3.2 mm).
- F. Expansion Joints: Provide expansion joints and other sealant-filled joints, including control, contraction, and isolation joints, where indicated. Form joints during installation of setting materials, mortar beds, and tile. Do not saw-cut joints after installing tiles.
  - 1. Where joints occur in concrete substrates, locate joints in tile surfaces directly above them.
  - 2. Prepare joints and apply sealants to comply with manufacturer's written instructions.
- G. Metal Edge Strips: Install at locations indicated or where exposed edge of tile flooring meets carpet, wood, or other flooring that finishes flush with or below top of tile and no threshold is indicated.
- H. Grout Sealer: Apply grout sealer to cementitious grout joints in tile floors according to groutsealer manufacturer's written instructions. As soon as grout sealer has penetrated grout joints, remove excess sealer and sealer from tile faces by wiping with soft cloth.

#### 3.4 CRACK ISOLATION MEMBRANE INSTALLATION

- A. Install crack isolation membrane to comply with ANSI A108.17 and manufacturer's written instructions to produce membrane of uniform thickness and bonded securely to substrate.
- B. Do not install tile or setting materials over crack isolation membrane until membrane has cured.

#### 3.5 CLEANING AND PROTECTING

- A. Cleaning: On completion of placement and grouting, clean all ceramic tile surfaces so they are free of foreign matter.
  - 1. Remove latex-portland cement grout residue from tile as soon as possible.

- 2. Clean grout smears and haze from tile according to tile and grout manufacturer's written instructions but no sooner than 10 days after installation. Use only cleaners recommended by tile and grout manufacturers and only after determining that cleaners are safe to use by testing on samples of tile and other surfaces to be cleaned. Protect metal surfaces and plumbing fixtures from effects of cleaning. Flush surfaces with clean water before and after cleaning.
- 3. Remove temporary protective coating by method recommended by coating manufacturer and that is acceptable to tile and grout manufacturer. Trap and remove coating to prevent drain clogging.
- B. Protect installed tile work with kraft paper or other heavy covering during construction period to prevent staining, damage, and wear. If recommended by tile manufacturer, apply coat of neutral protective cleaner to completed tile walls and floors.
- C. Prohibit foot and wheel traffic from tiled floors for at least seven days after grouting is completed.
- D. Before final inspection, remove protective coverings and rinse neutral protective cleaner from tile surfaces.

#### 3.6 INTERIOR TILE INSTALLATION SCHEDULE

- A. Interior Floor Installations, Concrete Subfloor:
  - 1. Tile Installation F111: Cement mortar bed (thickset) with crack isolation membrane; TCA F111 and ANSI A108.1A.
    - a. Tile Type: Ceramic Mosaic.
    - b. Thin-Set Mortar for Cured-Bed Method: Medium-bed, latex-portland cement mortar.
    - c. Grout: Polymer-modified sanded grout.

END OF SECTION 09300